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PTO/SB/05 (1/98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 0756-2222

First Inventor or Application Identifier: Shunpei YAMAZAKI et al.

Title: METHOD FOR FABRICATING A SEMICONDUCTOR DEVICE

Express Mail Label No.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [49]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets [7]
4. ☒ Oath or Declaration Total Pages [3]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
(Note Box 5 below)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement ☐ Copies of IDS Citations
(IDS)/PTO-1449
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ *Small Entity ☐ Statement filed in prior application, Status still proper and desired
(PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Notice of Change of Company Name and Address

*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Divisional of prior application Serial No. 08/784,290, filed January 16, 1997

Prior application information: Examiner: B. Dutton

Group/Art Unit: 2823

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

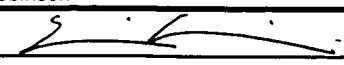
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or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name: Jeffrey L. Costellia
Firm: NIXON PEABODY LLP
Address: 8180 Greensboro Drive, Suite 800
City: McLean State: VA Zip Code: 22102
Country: U.S.A. Telephone (703) 790-9110 FAX (703) 883-0370

Name: Eric J. Robinson Registration No. 38,285

Signature  Date: October 31, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL		Complete If Known					
<i>Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</i>		Application Number					
		Filing Date		October 31, 2000			
		First Named Inventor		Shunpei YAMAZAKI et al.			
		Examiner Name		B. Dutton			
		Group Art Unit		2823			
TOTAL AMOUNT OF PAYMENT		\$1,040.00	Attorney Docket Number		0756-2222		
METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No. 19-2380 Deposit Account Name: NIXON PEABODY LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		3. ADDITIONAL FEES					
		Large Entity		Small Entity			
		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205	65	Surcharge-late filing fee or oath Surcharge-late provisional filing fee or cover sheet Non-English specification For filing a request for reexamination Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action Ext for reply within first month Ext for reply within second mth Ext for reply within third mth Ext for reply within fourth mth Ext for reply within fifth month Notice of Appeal Filing brief in support of appeal Request for Oral Hearing Petition to institute public use proceeding Petition to revive-unavoidable Petition to revive-unintentional Utility issue fee (or reissue) Design issue fee Plant issue fee Petitions to the Commissioner Petitions related to provisional applications Submission of IDS Recording each patent assignment per property (times number of properties) Filing a submission after final rejection (37 CFR 1.129(a)) For each additional invention to be examined (37 CFR 1.129(b)) Other _____ Other _____ *Reduced by Basic Filing Fee Paid SUBTOTAL (3)	
		127	50	227	25		
		139	130	139	130		
		147	2,520	147	2,520		
		112	920*	112	920*		
		113	1,840*	113	1,840*		
		115	110	215	55		
		116	380	216	190		
		117	870	217	435		
		118	1,360	218	680		
		128	1,850	228	925		
		119	300	219	150		
		120	300	220	150		
		121	260	221	130		
		138	1,510	138	1,510		
		140	110	240	55		
		141	1,210	241	605		
		142	1,210	242	605		
		143	430	243	215		
		144	580	244	290		
		122	130	122	130		
		123	50	123	50		
		126	240	126	240		
		581	40	581	40		
		146	760	246	380		
		149	760	249	380		
FEE CALCULATION							
1. BASIC FILING FEE							
Large Entity Small Entity							
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid		
101	710	201	355	Utility filing fee	[710]		
106	320	206	160	Design filing fee	[]		
107	490	207	245	Plant filing fee	[]		
108	710	208	355	Reissue filing fee	[]		
114	150	214	75	Provisional filing fee	[]		
SUBTOTAL (1)		\$710.00					
2. EXTRA CLAIM FEES							
Extra Claims Fee from Below Fee Paid							
Total Claims 25 - 20** = 5 X \$18.00 = \$ 90.00							
Independent Claims 6 - 3** = 3 X \$80.00 = \$240.00							
Multiple Dependent Claims \$270.00 = \$							
**or number previously paid, if greater, For Reissues, see below							
Large Entity Small Entity							
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description			
103	18	203	9	Claims in excess of 20			
102	80	202	40	Independent claims in excess of 3			
104	270	204	135	Multiple dependent claim			
109	80	209	40**	Reissue independent claims over original patent			
110	18	210	9**	Reissue claims in excess of 20 and over original patent			
SUBTOTAL (2)		\$330.00					
SUBMITTED BY							
Typed or Printed Name		Eric J. Robinson		Complete (if applicable)			
Signature		Date		Reg. Number	38,235		
October 31, 2000		Deposit Account		19-2380			
User ID							

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